IN THE SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1974

* * *

NO. 74-456

* * *

JOHN L. HILL, ATTORNEY GENERAL OF THE STATE OF TEXAS, MARK WHITE, SECRETARY OF STATE OF THE STATE OF TEXAS, AND CAROL VANCE, DISTRICT ATTORNEY FOR HARRIS COUNTY, TEXAS

Appellants

v.

FISHING INDUSTRIES OF THE GULF COAST, HERMON COLEMAN, CRADY E. PITCH, AND CHARLES DRAKE, INDIVIDUALLY AND ON BEHALF OF ALL PERSONS SIMILARLY SITUATED,

Appellees

* * *

ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS

* * *

JURISDICTIONAL STATEMENT

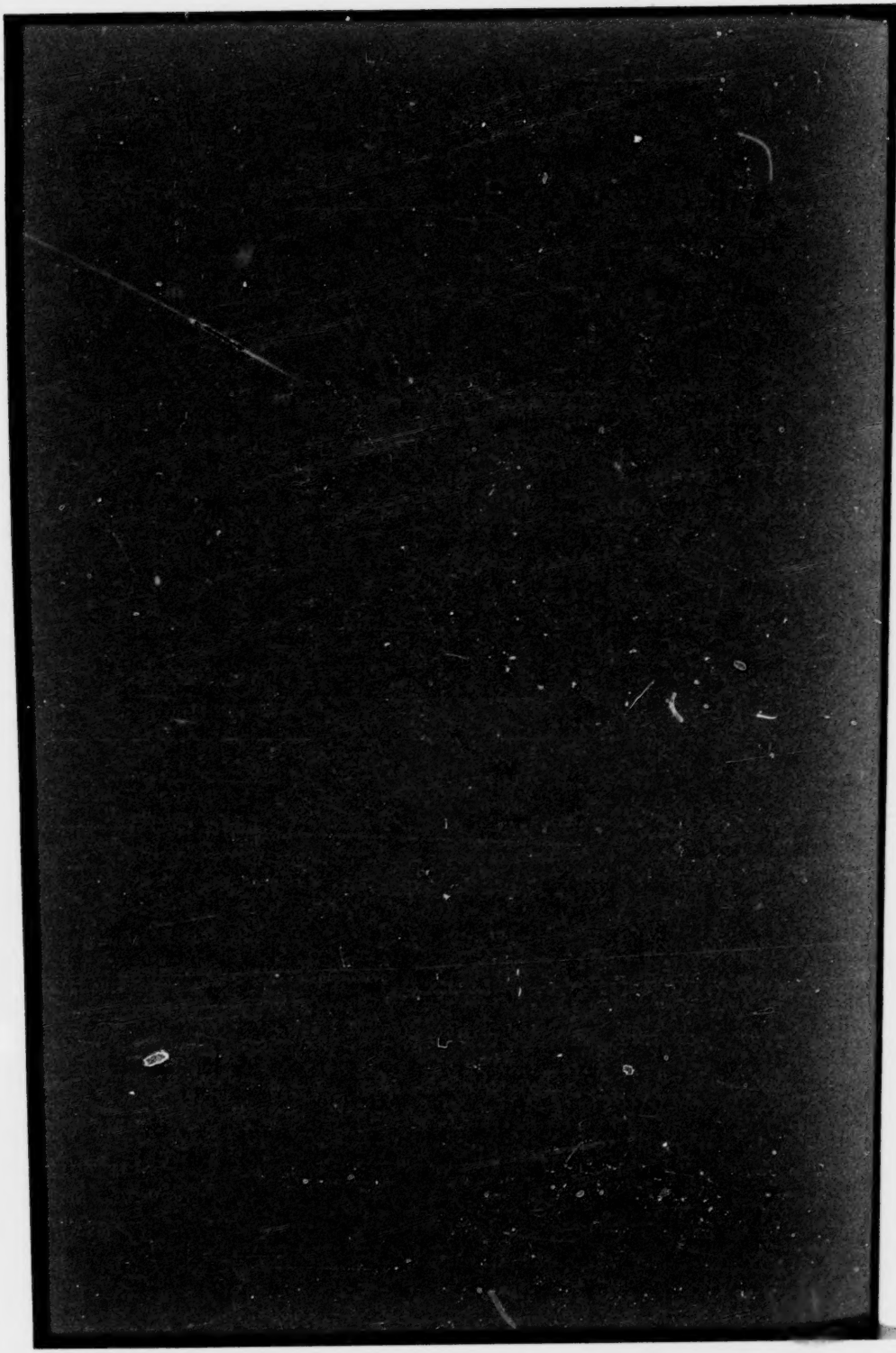
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OCTOBER TERM, 1974

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NO. _____

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JOHN HILL, ATTORNEY GENERAL OF THE STATE OF TEXAS, MARK WHITE, SECRETARY OF STATE OF THE STATE OF TEXAS, AND CAROL VANCE, DISTRICT ATTORNEY FOR HARRIS COUNTY, TEXAS

Appellants

v.

PRINTING INDUSTRIES OF THE GULF COAST, MARION COLEMAN, GRADY E. FITCH, AND CHARLES DRAKE, INDIVIDUALLY AND ON BEHALF OF ALL PERSONS SIMILARLY SITUATED,

Appellees

* * *

ON APPEAL FROM THE
UNITED STATES DISTRICT COURT FOR THE
SOUTHERN DISTRICT OF TEXAS

* * *

JURISDICTIONAL STATEMENT

* * *

This appeal is from the judgment of the United States District Court for the Southern District of Texas, entered on August 20, 1974, in an action challenging the constitutionality of a portion of Article 14.10(b) of the Texas Election Code

which provides that:

> "all printed or published political advertising shall also have printed on it the name and address of the printer or publisher and the person paying for the advertising."

The Court below held that the above quoted language was unconstitutional in that it places a substantial infringement on Appellee printers' and publishers' right to print political material anonymously in violation of the First Amendment Freedom of the Press provision. The court further held that this section violated Appellee printers' and publishers' right for associational privacy derived from the First Amendment guarantee of freedom of assembly. The court found no compelling state interest to justify the burden placed on Appellee printers' First Amendment right. Finally, the Court held that the statute was void for vagueness in that the words "person paying for the advertising" were so vague that men of common intelligence would differ as to its meaning and application.

Appellants submit this statement to show that the Supreme Court of the United States has jurisdiction of this appeal and that a substantial question is presented.

OPINION BELOW

The opinion and order of the Court below are not yet reported. They were issued on August 20, 1974. They are reproduced in the sepa-

rately bound appendix. (Pages 1 to 61.)

JURISDICTION

This suit was brought under 42 USC Sec. 1983 and 28 USC Sec. 1343 to enjoin the enforcement of the State law alleged to violate the First and Fourteenth Amendments of the United States Constitution. Jurisdiction of the three judge district court was invoked under 28 USC Sec. 2281 and 2284. The judgement of the district court was entered on August 20, 1974, and notice of appeal was filed in that court on August 23, 1974.

Application for a stay pending appeal was filed in the court below on August 23, 1974 and denied by the lower court on September 23, 1974. Application for a stay pending appeal of the lower court's order was presented to Mr. Justice Powell and granted by the full court on October 11, 1974, Mr. Justice Douglas dissenting.[1]

The jurisdiction of the Supreme Court to review this decision by direct appeal is conferred by 28 USC Sec. 1253 and Sec. 2101 (b). Jurisdiction is supported by Rosario v. Rockefeller, 410 U.S. 752 (1973); American Party of Texas v. White, 39 L.Ed. 2d 744 (1974).

QUESTIONS PRESENTED

I. WHETHER OR NOT THE STATE, VESTED WITH THE RESPONSIBILITY OF REGULATING THE ELECTION PROCESS IN THE INTEREST OF THE GENERAL PUBLIC, HAD A LEGITIMATE AND SUBSTANTIAL INTEREST IN ADOPTING LEGISLATION

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[1] See Appendix, page 69

PROVIDING FOR LIMITED DISCLOSURE IN THE ELECTION PROCESS.

II. WHETHER OR NOT A TEXAS ELECTION CODE REQUIREMENT THAT IDENTIFICATION OF A COMMERCIAL PUBLISHER OR PRINTER OF POLITICAL CAMPAIGN MATERIAL BE PLACED ON SUCH MATERIAL IS A SUBSTANTIAL INFRINGEMENT OF ANY FIRST AMENDMENT RIGHT.

III. WHETHER OR NOT A PENAL STATUTE IS VOID FOR VAGUENESS IF COMPLIANCE MAY BE ACCOMPLISHED THROUGH A NUMBER OF ACCEPTABLE AND GENERALLY UNDERSTOOD METHODS.

STATUTE INVOLVED

The statute involved is a part of Subsection B of Article 14.10, Texas Election Code, as amended in 1973. Article 14.10 is printed in its entirety in the Appendix to this statement with emphasis added to the portion of the statute in question.

STATEMENT

This litigation involves an attack on the constitutionality of a portion of the Texas Election Code relating to campaign reporting and disclosure. In fulfilling the responsibility for regulation of the election process, the states have long recognized that fair and open election campaigns are an integral part of that process. The federal government and at least 33 other states (Exhibit A) have enacted legislation reaching the campaign

portion of an election and containing substantially similar disclosure requirements as that at issue in the case at bar.

From 1967 to 1973, Texas law required that campaign advertising reflect the name and address of either the person paying for the advertising or the printer or publisher of the advertising. In 1973, as a result of prior experiences by the people and officers of the State in which unethical and illegal conduct in campaigns could not be effectively traced or prosecuted due to the law in existence at the time, the Texas Legislature enacted House Bill Four. This legislation was a comprehensive revision of the Texas election laws regarding the reporting and disclosure requirements for political campaigns. One of the provisions of that bill is at issue here. The prior disclosure required on printed campaign advertising was altered to require that such advertising contain the name and address of both the person paying for the material and the printer or publisher of such material.

When identification of both sources of printed campaign material was required, Plaintiffs, a trade association consisting of persons engaged in the printing industry, and three named individual printers, instituted this suit claiming that the new requirement violated their First Amendment rights of freedom of press and speech and freedom of association. The Plaintiffs additionally complained that the phrase "person paying for the advertising" was so vague that it was unconstitutionally broad on its face.

The majority of the Court below held that the printers and publishers were entitled to assert

personal First Amendment rights of freedom of speech and press from which the right to print political material anonymously derived, and that the statute in question substantially restricted that right. Additionally the Court found that the printers and publishers as individual persons have a right to associational privacy and Article 14.10 (b) infringes on that right by requiring the public revelation that a printer has been of some assistance to a candidate or a cause, regardless of the printer's desire to have this fact known. The Court held that the State could not show a compelling interest sufficient to justify what the Court saw as substantial infringement of these First Amendment rights. Finally, the Court held that the phrase "person paying for the advertising"was subject to so many interpretations that it was unconstitutionally vague on its face. The Court enjoined the State from enforcing that part of Article 14.10 (b) which required disclosure of the printer or publisher and person paying for the advertising on printed campaign material.

THE QUESTIONS ARE SUBSTANTIAL

The significance of the questions involved in this litigation extends far beyond a statute of the State of Texas. The extent to which or method by which a governmental unit may discharge its ressponsibility for insuring a fair, open and orderly election process is at issue. This is a question of moment not only as an abstract legal question, but in the collective mind of the public at this time in our history when the realities of the political election process have been shown to include unfair, offensive, and illegal conduct on the part of some. The public and their elected representatives want a

reaffirmation of honesty and trust in government. With such a goal the State of Texas, the federal government and some 30 more states have enacted election requirements that include the disclosure of the identity of the persons responsible for printed campaign advertising material. That requirement has come under attack, in this case by members of the printing industry, as being repugnant to the First Amendment and the line of cases relating to a right to publish anonymously (Talley v. California, 362 U.S. 60 (1960)) and the right to associational privacy (NAACP v. Alabama, 357 U.S. 449 (1958)). The United States Supreme Court has not decided these issues in the context of a state regulation of the election process either as to the actual existence or extent of the First Amendment rights of the printers and publishers or the authors of campaign material or as legitimacy of the state interest in the election process expressed in this type of disclosure requirement. The federal district courts which have considered questions substantially identical to the one at bar have in three instances rejected the constitutional attack and upheld the statute. U.S. v. Scott, 195 F. Supp. 440 (M.D. Dak. 1961); U.S. v. Insco, 365 F. Supp. 308 (M.D. Fla., 1973); U.S. v. Donald H. Segretti, _____ F. Supp. _____ (M.D. Fla., July 26, 1973.) Only in the case at bar did the federal court hold the disclosure in question to be constitutionally impermissible. It is now incumbent on the Supreme Court to give the definitive direction needed by the courts and legislative bodies, through taking jurisdiction of this cause on the basis of the following issues.

I.

WHETHER OR NOT THE STATE, VESTED, WITH THE RESPONSIBILITY OF REGULATING THE ELEC-

TION PROCESS IN THE INTEREST OF THE GENERAL PUBLIC, HAD A LEGITIMATE AND SUBSTANTIAL INTEREST IN ADOPTING LEGISLATION PROVIDING FOR LIMITED DISCLOSURE IN THE ELECTION PROCESS.

The states of this Union are vested with the primary responsibility for regulation of the election processes within their boundaries for both state and federal congressional offices. The importance of this responsibility is underlined by the specific grant of authority contained in Article I, Section 4, of the United States Constitution. That the states have compelling interests in preserving the integrity and orderliness of the election process cannot be a matter of dispute. American Party of Texas v. White, supra; Rosario v. Rockefeller, supra; Bullock v. Carter, 405 U.S. 134 (1972). And this interest has its foundation in the right of all citizens, voters and candidates, to choose their governmental representatives in an orderly, fair, and democratic manner. Regulation of elections embraces not only the time and place of voting, but also such elements as notices, registration, supervision of voting, protection of voters, prevention of fraud and corrupt practices, counting votes, and canvassing returns. Roudebush v. Hartke, 405 U.S. 15 (1972). The conduct of political election campaigns is an intergral part of the election process and one without doubt encompassed by the right and responsibility of state regulation. It is during the time of the campaign that the voter actually makes his choice. The ability to make an informed choice depends both on the dissemination of information regarding a candidate or measure,

and on some assurance that the information received is not the product of the kind of activity which is now generally known as "dirty tricks". Pursuant to this end, the State of Texas, the federal government and at least 30 other states have enacted legislation imposing requirements that the political advertising material used for an election reflect its source. Specifically, Texas requires the name and address of the printer or publisher and the person paying for the advertising to be disclosed. This, however, is only a part of the campaign reporting and disclosure laws which were substantially amended by the Texas Legislature in 1973. These amendments were the result of past experiences in the campaign and election processes which unfortunately too often included unethical, chaotic, and illegal conduct which under prior law neither the state nor its citizens could effectively trace, prosecute, or regulate. The provision in question serves a number of functions. Initially it acts as a strong deterrent to those who would engage in scurrilous or falsely attributed attacks. Secondly, by requiring the identification of the person paying for the advertising, any member of the public may better evaluate the content of the material and may actually trace its source--in the event a false name was given to the printer. (See the remainder of Article 14.10 (b)). This provision also allows a method of checking the reported campaign expenditures required by another portion of the act. Clearly, to merely prohibit false or falsely attributed campaign material is insufficient. The crux of the problem is to determine when such conduct occurs. This problem is especially acute during a campaign as the time for detecting and correcting the wrong is limited. The

disclosure of only the person paying for the advertising was also found to be insufficient in the State of Texas for the same reason.

Yet, printers and publishers and the court below found little if any state interest in the disclosure requirement at issue. Such a position is in opposition to other federal courts which have upheld similar statutes, U.S. v. Scott, supra; U.S. v. Insco, supra; U.S. v. Donald H. Segretti, supra. The interest which the court and plaintiffs below have in this area also comes as a revelation to the numerous other states and the federal government that apparently felt they had an interest compelling enough to enact similar requirements regarding campaign publication of campaign material.

Appellants believe that, on review by this Court, the valid and compelling state interest in utilizing this limited disclosure requirement to preserve the integrity of the election process will be upheld and the judgment of the court below reversed.

II.

WHETHER OR NOT A TEXAS ELECTION CODE REQUIREMENT THAT IDENTIFICATION OF A COMMERCIAL PUBLISHER OR PRINTER OF POLITICAL CAMPAIGN MATERIAL BE PLACED ON SUCH MATERIAL IS A SUBSTANTIAL INFRINGEMENT OF ANY FIRST AMENDMENT RIGHT.

The interest and necessity of a state in regulating the election process as reflected in statutory controls is of course subject to constitutional limitations. The Texas provision in issue is

attacked as unconstitutionally infringing on printers and publishers First Amendment rights of freedom of the press and freedom of association. Specifically printers and publishers claim constitutional protection to print and associate anonymously.

Initially, it must be noted that neither the Constitution nor the United States Supreme Court has granted an absolute right of anonymity to the press.

Given the natural limitations upon the human capacity and opportunity to speak and publish, the founding fathers and the Supreme Court share a rightful concern about legislative restrictions imposed on the press. The protection afforded the written or spoken word is designed to preserve a marketplace for the free exchange of ideas. But the scope and definition of this freedom has evolved from a balancing of the freedom and the circumstance, interest or regulation purported to restrict such freedom. Indeed certain disclosure requirements placed on the press or on those who seek to engage in various types of expression have been upheld by the United States Supreme Court. Lewis Publishing Co. v. Morgan, 229 U.S. 288 (1913); Cox v. New Hampshire, 312 U.S. 59 (1941); Poulos v. New Hampshire, 345 U.S. 395 (1953); Viereck v. United States, 318 U.S. 236 (1943); Branzburg v. Hayes, 408 U.S. 665 (1972). Anonymity of the press cannot be the protected right; rather if the nature of the disclosure requirement is such that it is unjustifiably oppressive to the exercise of a free press or free speech, the requirement will be struck down with the result of leaving the printer or speaker anonymous. Thomas v. Collins, 323

U.S. 516 (1945); Lovell v. City of Griffin, 303 U.S. 444 (1938); Talley v. California, supra.

In the case at bar, printers and publishers attempt to establish a right to print anonymously through Talley v. California, supra. Their reliance is misplaced. In Talley the Los Angeles ordinance required identification of the author of "any handbills, in any place under any circumstances." 362 U.S. 60, at 633. The breadth of the ordinance and its lack of relation to any ongoing governmental interest or responsibility led the court to hold this particular ordinance in violation of the First Amendment. The interests of anonymity under the First Amendment protection of speech were succinctly discussed by Mr. Justice Black at page 64:

> "Anonymous pamphlets, leaflets, brochures and even books have played an important role in the progress of mankind. Persecuted groups and sects from time to time throughout history have been able to criticize oppressive practices and laws either anonymously or not at all. The obnoxious press licensing law of England, which was also enforced on the Colonies was due in part to the knowledge that exposure of the names of printers, writers and distributors would lessen the circulation of literature critical of the government. The old seditious libel cases in England show the lengths to which government had to go to find out who was responsible for books that were obnoxious to the rulers. John Lilburne

was whipped, pilloried and fined for refusing to answer questions designed to get evidence to convict him or someone else for the secret distribution of books in England. Two Puritan Ministers, John Penry and John Udal, were sentenced to death on charges that they were responsible for writing, printing or publishing books. Before the Revolutionary War colonial patriots frequently had to conceal their authorship or distribution of literature that easily could have brought down on them prosecutions by English-controlled courts. Along about that time the Letters of Junius were written and the identity of their author is unknown to this day. Even the Federalist Papers, written in favor of the adoption of our Constitution, were published under fictitious names. It is plain that anonymity has sometimes been assumed for the most constructive purposes.

"We have recently had occasion to hold in two cases that there are times and circumstances when States may not compel members of groups engaged in the dissemination of ideas to be publicly identified. Bates v. Little Rock, 361 U.S. 516, 80 S.Ct. 412, 4 L.Ed.2d 480; National Assoc. for Advancement of Colored People v. Alabama, 357 U.S. 449, 462, 78 S.Ct. 1163, 2 L.Ed.2d 1488. The reason for those holdings

> was that identification and fear of reprisal might deter perfectly peaceful discussions of public matters of importance. This broad Los Angeles ordinance is subject to the same infirmity. We hold that it, like the Griffin, Georgia, ordinance, is void on its face."

However, the judgment of the court was not based on the fact that the ordinance restricted anonymity but that its breadth would result in times and circumstances when the disclosure requirement would deter "discussions of public matters of importance."

The challenged language of 14.10(b), however, applies only during very limited times (a campaign) and only to certain groups (those printing material for such campaigns). It clearly does not eliminate anonymous "discussions of public matters of importance" at all times or even during the time of an election campaign. The propriety of a similar statute (18 USC 612) as part of an election regulatory scheme was recognized by the court, in U.S. v. Insco, supra, at page 1312:

> "That statute is therefore limited in its coverage to requiring fairness in federal elections and does not preclude anonymous criticism of oppressive practices and laws as referred to by the majority in Talley;"

The case at bar more properly fits within the reasoning of the Insco case, rather than Talley in determining the extent of First Amendment protections. If the statute in question does infringe on

First Amendment rights of the printer and publisher, which the state contends it does not, that infringement balanced against the strong state interest in insuring fair and open elections is so insignificant that any balancing of interests must fall on the side of the state.

Significantly, the purposes articulated by Mr. Justice Black for questioning an absolute ban on anonymous printing are far from applicable in the case at bar. Appellee printers and publishers have no such lofty purpose for seeking anonymity. Rather, they seek only to profit in private. The "expression" required of the printers and publishers is no more than the expression of their name. This is not the type of speech envisioned by the First Amendment which is integral to the preservation of a marketplace for the free exchange of ideas. It is truly a perversion of the intent of the First Amendment to afford its protection to expression of this nature undertaken because of a purely commercial relationship. Indeed this type of expression does not even rise to the level of commercial speech held to be beyond the protection of the First Amendment. Pittsburg Press Company v. Pittsburg Comm. of Human Relations, 413 U.S. 376 (1974).

Nor does this statute infringe on the right of a printer or publisher by requiring him to print "that which reason tells him should not be published" as so recently discussed in Miami Herald Publishing Company v. Tornillo, 94 S.Ct. 2831 (1974). In that case the paper was required by Florida statute to print replies by candidates to editorials if such editorials were critical of the candidates. If the case stands for the proposition

that members of the press cannot be required by the state to print anything, regardless of the nature of the material printed, the court would have discussed or at least noted the long-standing case of Lewis Publishing Co. v. Morgan, supra, upholding the registration and publication provisions of the Post Office Appropriation Act of 1912. The absence of such a discussion affirms the contention that a requirement to print is evaluated in terms of the nature of the material to be printed and the First Amendment, not merely the fact that a requirement exists.

The asserted right of printers and publishers to associational anonymity suffers from the same defective premise. The constitutionally protected right is to freely associate for the purpose of advancing ideas and airing grievances. NAACP v. Alabama, supra; Bates v. Little Rock, 361 U.S. 516 (1960). However, the holding in both NAACP v. Alabama, supra, and Bates v. Little Rock, supra, was that in each instance the law in question imposing some burden on a First Amendment right was not shown to have a relevant or substantial correlation to the state interest sought to be furthered. As Mr. Justice Black stated in Talley, infra, "there are times and circumstances" where such disclosure cannot be required by the states. In the case at bar, sufficient, substantial, and compelling interests are shown by the State in the limited disclosure requirement of Article 14.10(b), Texas Election Code.

Finally, printers and publishers seek the right of associational anonymity not to allow those to engage in free association for advancing ideas and airing grievances; rather they seek the protec-

tion for a purely commercial enterprise.

In summary, the printers and publishers seek to stretch the First Amendment protection, established and preserved to guarantee the free expression of ideas, to guarantee the pursuit of a commercial relationship, in utter disregard of the right and interest of the public at large to be fully informed of the candidates and issues in an election campaign.

III.

WHETHER OR NOT A PENAL STATUTE IS VOID FOR VAGUENESS IF COMPLIANCE MAY BE ACCOMPLISHED THROUGH A NUMBER OF ACCEPTABLE AND GENERALLY UNDERSTOOD METHODS.

Article 14.10(b) is also penal in nature because it provides for a fine of $100 to $5,000 and imprisonment for one to five years or both for noncompliance with its terms. The printers and publishers alleged and the court below held that the phrase "person paying for the advertising" was so vague that the printers would not know precisely whose name is tc be placed on the advertisement, and therefore the phrase was unconstitutionally void on its face.

Initially it should be pointed out that the printers and publishers apparently had no trouble determining who "the person paying for the advertising" was from 1967 to 1973, when the law required disclosure of either the printer or publisher or the person paying for the advertising. This is easily understood if one examines the remainder of that subsection. The "person paying for the ad-

vertising" must sign a copy of the matter to be published, and if an agent, indicate his principal. Obviously the printers and publishers have accepted and printed either the contractor or, if an agent, the principal without question and without suffering any penal sanctions.

Additionally, under the 1973 campaign reporting and disclosure laws, expenditure of campaign funds may be made only by the candidate, his campaign manager or assistant campaign manager, or the campaign manager of a political committee under Article 14.04 (d), Texas Election Code. (Certain exceptions are contained in paragraphs (a), (b), and (c) of Article 14.04, but are not applicable to the case at bar.)

The test for vagueness of a statute is whether a person of ordinary intelligence could determine from the statute that his conduct was prohibited; Connally v. General Construction Co., 269 U.S. 385 (1925); that a standard of guilt is contained in the statute capable of providing guidance for the courts; United States v. Cohen Grocery Co., 255 U.S. 81 (1921); and that the individual and the courts would consider the prohibited conduct in substantially the same say, Nash v. United States, 229 U.S. 373 (1913).

The past history of Article 14.10(b) enhanced by the recent amendments to the operation of Texas election laws, clearly show that the phrase in question is not and never has been void for vagueness.

Finally, the statute is not deficient because more than one individual may be considered by the printer or publisher to be eligible for designation as he who pays for the advertising. Compliance

with the statute is met if the name and address of one of the individuals reasonably related to the purchase of the advertising is printed. Under Article 14.10(b) this would be the person contracting for the advertising or his principal if he is an agent. Any claim that the phrase in question is unconstitutionally vague because compliance may be met through more than one means has been properly disposed of by this Court. U.S. v. Vuitch, 402 U.S. 62 (1971) held that where there are several ways of complying with a statute, compliance if any of the reasonable ways is sufficient and the statute is not unconstitutionally vague. The burden is on the state to prove that the name appearing in the printed advertising was in no reasonable or logical way the person paying for the advertising. Finally, under the Texas Penal Code Articles 8.02 and 8.03[2] provide a defense to prosecution for a

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[2]Sec. 8.02. PENAL CODE. Mistake of Fact.

(a) It is a defense to prosecution that the actor through mistake formed a reasonable belief about a matter of fact if his mistaken belief negated the kind of culpability required for commission of the offense.

(b) Although an actor's mistake of fact may constitute a defense to the offense charged, he may nevertheless be convicted of any lesser included offense of which he would be guilty if the fact were as he believed.

Sec. 8.03 Mistake of Law.

(a) It is no defense to prosecution that the actor was ignorant of the provisions of any law after the law has taken effect.

mistake of fact and a mistake of law. Therefore, if a printer mistakenly thought the person whose name he printed was the individual paying for the advertising when in fact another person was paying for it, the printer could not be prosecuted. If the printer relied on any part of the statute, the written directives of the Secretary of State, or even by this brief submitted by the Attorney General in this cause as to who was legally to be considered the person paying for the advertising when he printed the disclosure, he would be immune from prosecution.

Ftn. 2 cont.

(b) It is an affirmative defense to prosecution that the actor reasonably believed the conduct charged did not constitute a crime and that he acted in reasonable reliance upon:

(1) an official statement of the law contained in a written order or grant of permission by an administrative agency charged by law with responsibility for interpreting the law in question; or

(2) a written interpretation of the law contained in an opinion of a court of record or made by a public official charged by law with responsibility for interpreting the law in question.

(c) Although an actor's mistake of law may constitute a defense to the offense charged, he may nevertheless be convicted of a lesser included offense of which he would be guilty if the law were as he believed.

In summary, the integrated scheme of the Texas Election Code, and the full provisions of Article 14.10(b) make a claim or finding that the phrase "person paying for the advertising" is unconstitutionally void for vagueness, facetious and erroneous.

CONCLUSION

For the foregoing reasons, it is requested that the Court note probable jurisdiction of this case and set it for argument and plenary consideration.

Respectfully submitted,

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CERTIFICATE OF SERVICE

I, Elizabeth B. Levatino, Special Assistant Attorney General of Texas, as of counsel for Appellants herein, hereby certify that true and correct copies of the above foregoing Jurisdictional Statement and separately bound Appendix have been served upon opposing counsel by placing copies in the mail, prepaid, to Mr. Gerald M. Birnberg, Attorney at Law, 2020 Southwest Freeway, Suite 302, Houston, Texas 77006, Counsel for Plaintiffs-Respondents; Mr. David Crump, Attorney at Law, 2535 Shakespeare, #4, Houston, Texas 77025, Counsel for Common Cause Amicus Curiae; Mr. Joe S. Moss, Assistant District Attorney, 301 San Jacinto, Houston, Texas 77002, counsel for Defendant-Petitioner Carol S. Vance; and Mrs. Mary Joe Carroll, Attorney at Law, P. O. Box 1148, Austin, Texas 78767, Counsel for Amicus Curiae Texas Daily Newspapers Association and Texas Press. Association.

Witness my hand this _____ day of October, 1974.

_____

ELIZABETH B. LEVATINO

E X H I B I T A

SIMILAR STATUTES FROM OTHER JURISDICTIONS

18 USC Section 612

Ala. Code Tit. 17, Section 282 (1959).

Alaska Stat. Section 15.55.030 (1971), as amended, (Supp. 1973).

Ark. Stat. Ann. Section 3-1104(i) (Supp. 1971).

Cal. Ann. Elec. Code Section 12047 (West 1961), as amended (Supp. 1973). (Relates to any material designed to defeat a candidate.)

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Me. Rev. Stat. Ann. tit. 21, ch. 39, Section 1575 (1964), as amended, (Supp. 1973).

Mass. Ann. Laws ch. 56 Section 39 (1971) as amended (1973).

Md. Ann. Code art. 33, 26-16 (a) (7) (1971), as amended, (Supp. 1973)

Mich. Stat. Ann. Section 168.914a (1967).

Minn. Stat. Ann. Section 211.08 (1962), as amended, (Supp. 1973).

Miss. Code Ann. Section 23-3-37 (1972). (Candidate must approve and subscribe to all literature.)

Mo. Ann. Stat. Section 129.300 (1966).

Mont. Rev. Codes Ann. Section 94-1452 (1969). (This was one of several sections renumbered by Section 29, Ch. 513, Laws of 1973. New Section no. is section 23-4752, but latest printing of text of stat. is still Section 94-1452.)

Neb. Rev. Stat. Section 32-1153 (Supp. 1973).

N.H. Rev. Stat. Ann. Section 70:14 (1970), as amended (Supp. 1973).

N.J. Stat. Ann. Section 19:34-38.1 (1964).

N.D. Cent. Code Ann. Section 16-20-17.1 (1971), as amended, (Supp. 1973).

Ohio Rev. Code Ann. Section 3599.09 (1971).

Okla. Stat. Ann. tit. 26, Section 491 (Supp. 1973).

Ore. Rev. Stat. Section 260.360 (1969), as amended Ore. Laws 1971, ch. 749, Section 52. (Name and address of publisher, but not author, must appear on the literature.)

Penn Stat. Ann. tit. 25, Section 3546 (1963).

R.I. Gen. Laws Section 17-23-2 (1969).

Tenn. Code Ann. Section 2-1920 (Supp. 1973).

Utah Code Ann.,Section 20-14-24 (1969).

Vt. Stat. Ann. tit 17, Section 2022 (1968).

Va. Code Ann. Section 24.1 - 259 (1973).

W. Va. Code Ann. Section 3-8-12(a) (1971).

Wis. Stat. Ann. Section 12.14 (1) (West 1967).

***Washington's statute (Wash Rev. Code Ann. Section 29.85.270 (1965) was repealed in 1972 after being put to a vote of the people. Repealed by 1972 2nd Extra Session, ch.98, Section 20(2).

IN THE SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1974

FILED
OCT 21 1974
MICHAEL RODAK, JR., CLERK

* * *

NO. 74-456

* * *

JOHN HILL, ATTORNEY GENERAL OF THE STATE OF TEXAS, MARK WHITE, SECRETARY OF STATE OF THE STATE OF TEXAS, AND CAROL VANCE, DISTRICT ATTORNEY FOR HARRIS COUNTY, TEXAS

Appellants

v.

PRINTING INDUSTRIES OF THE GULF COAST, MARION COLEMAN, GRADY E. PTECH, AND CHARLES DRAKE, INDIVIDUALLY AND ON BEHALF OF ALL PERSONS SIMILARLY SITUATED,

Appellees

* * *

ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS

* * *

APPENDIX TO THE JURISDICTIONAL STATEMENT

* * *

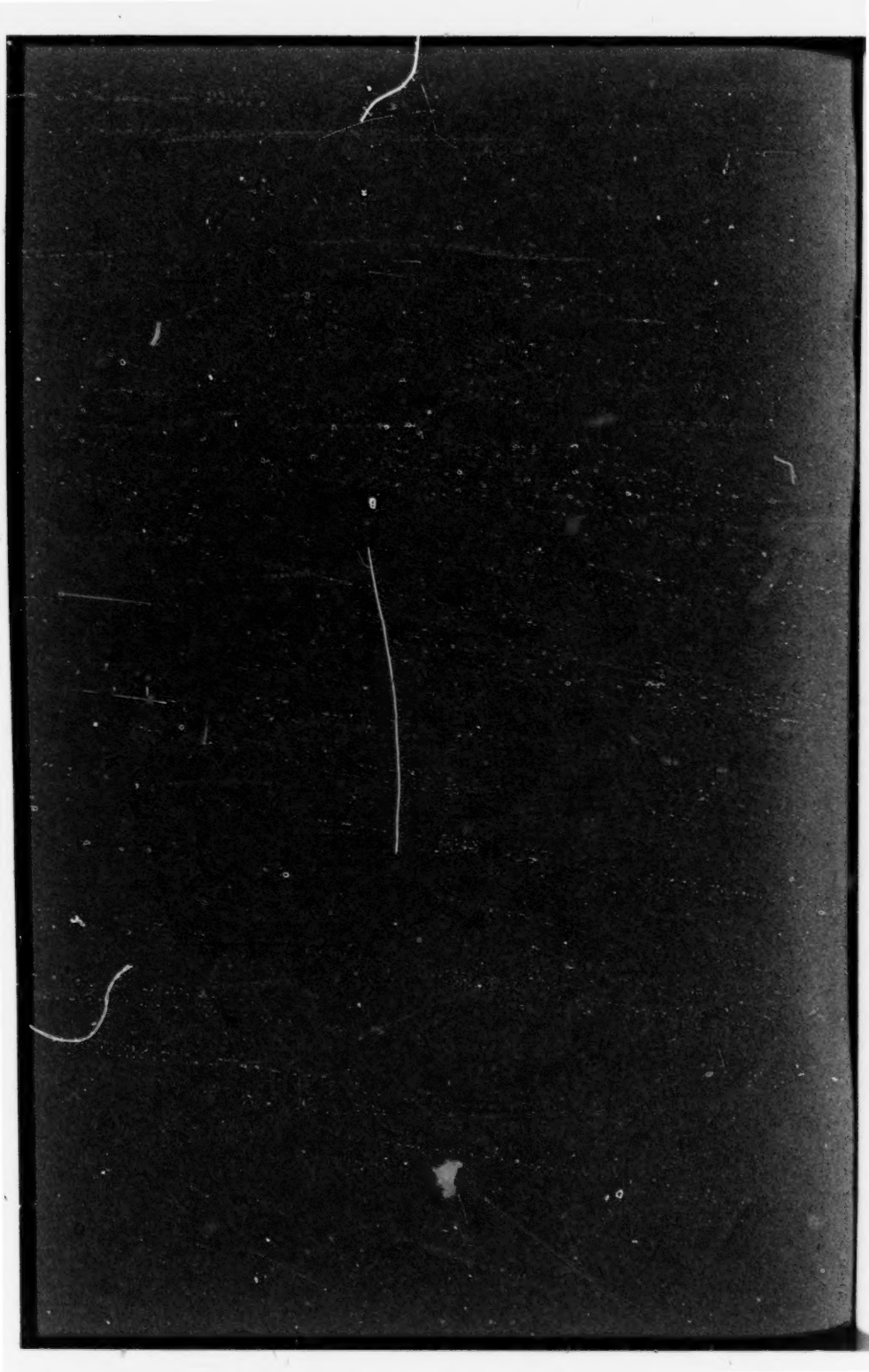
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October 1974



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IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

PRINTING INDUSTRIES OF THE GULF COAST, MARION COLEMAN, GRADY E. FITCH, and CHARLES DRAKE, individually, and on behalf of all persons similarly situated	CIVIL ACTION
V.	
HONORABLE JOHN HILL, Attorney General of the State of Texas, HONORABLE MARK WHITE, Secretary of State of Texas, and CAROL S. VANCE, District Attorney for Harris County, Texas	NO. 73-H-1261

BEFORE GEE, CIRCUIT JUDGE,
SINGLETON AND BUE, DISTRICT JUDGES.

BY JOHN V. SINGLETON

In 1973 the 63rd Legislature of the State of Texas amended Article 14.10(b) of the Texas Election Code to provide as follows: "Any printed or published political advertisitng shall also have printed on it the name and address of the printer or publisher and the person paying for the advertising." Sanctions for the violation of

the law are a fine of not less than $100 nor more than $5,000 or imprisonment of not less than one year nor more than five years or both fine and imprisonment.

Plaintiffs are a trade association consisting exclusively of persons engaged in the printing industry and three named individual printers. They have brought this suit pursuant to 42 U.S.C.A. § 1983, alleging that the quoted language is unconstitutional because it interferes with their right to political and associational privacy and anonymity and because it abridges the freedom of the press. Further, they allege that the statute is unconstitutionally vague and imprecise. The plaintiffs have alleged that they fear and have reason to fear intimidation, harrassment, and reprisals if they are required to associate openly and publicly with certain political candidates or positions as required by the statute; they fear that persons seeing plaintiffs'

names on political advertising will mistakenly believe that the plaintiffs endorse those candidates or positions whose printing they undertake, and in this way the statute has a chilling effect on the exercise of first amendment rights. Further, they allege that they were unable to determine the requirements of the statute in that the terms "person paying for the advertising" and "publisher" are not defined.

Jurisdiction is founded on 28 U.S.C.A. §§ 1331 and 1343, 2201 and 2202. The case is before this three-judge district court by virtue of 28 U.S.C.A. §§ 2281 and 2284.

STANDING

The defendants have questioned the standing of the plaintiffs to rely on the rights guaranteed by the first amendment. The argument is that because there is no standing to assert the rights of third parties except in very narrow circumstances,

the printers have no standing because the rights they seek to assert are those of third parties, the politicians and voters. The printers, it is said, are only "commercial conduits" who are injured, if at all, in the pocket book--their personal political speech and association rights remain untouched by the law. This court believes that the printers are personally injured by the law.

The first amendment rights to freedom of speech and press exist not only because the authors of the Bill of Rights wished to humor the eccentricities of the man on the soap box but because they wished to secure forever the means of making the United States a free market place of ideas. The human voice and the human pen are vital to this goal but no more vital than the physical printing press itself and the persons who operate it. All of these rights exist because of the same goal. Who can say that

in achieving the goal any one element is more inportant or that because one element has commercial aspects it lacks standing to protect itself. All of these rights are so closely bound together that the goal they were created to achieve will fail should any one be curtailed. To say that the right of the printer physically to print the written word can be distinguished from the right of the author to have his words printed because the printer has no interest in the ideas he prints (his interest being in receiving a fee for his printing) is to ignore not only the clear language of the first amendment but the scheme behind that amendment. Restrictions on the printer do impede the author, but the printer's rights are not derived from the author's rights. The printer's rights are related to those rights--both are derived from the same idea behind the first amendment. The idea behind the first amendment would be severely threatened were we to limit the assertion of first amendment

rights to the author-printer who both writes and prints his ideas. Protection of speech and authorship is firmly grounded on a national policy of protecting the rights of both the individual and the whole people. Printing and publication may not rest on as strong an individual support as speech and authorship, but because without question printing and publication advance the rights of the people to know and understand they are no less deserving of protection than speech and authorship. In this sense the rights of the printer and publisher are no more derivative than the rights of the speaker and author.[1]

---

[1]To this court a printer is a person, natural or corporate, who for free or for a fee places for dissemination on paper, wood, or stone ideas be it his or others. If this is a proper definition of a printer, certainly that person would be as entitled to the first amendment protection as any other person and could effectively raise the constitutional question and should be afforded the full protection of that great constitutional commandment.

During the 1930's the Supreme Court embarked upon a course which defined the first amendment guarantees and emphasized their importance. In all of the long line of cases there was one theme: "the belief of the framers of the constitution that exercise of the rights lies at the foundation of free government by free men." Schneider v. Irvington, 308 U.S. 147, 160 (1939). Never, in these cases, are the rights in terms of personal rights purely for the sake of individual freedom, rather the rights are defined in terms of personal right for the sake of "free government by free men." This theme was not meant to diminish the obviously strong belief in individual freedom but to emphasize that individual freedom in the first amendment free-speech area is not cherished purely for its own sake but is cherished for the sake of all the people. It was seen as absolutely essential to the democracy which

the founding fathers established. The language of these earlier cases makes quite clear that the Supreme Court which first articulated the first amendment protections we take for granted today never considered a "commercial conduit" argument. In Near v. Minnesota, 283 U.S. 695 (1930), the Court cut down a Minnesota state statute which provided that a person or corporation which "regularly or customarily" produced, published, circulated, had in possession, sold, or gave away a "malicious, scandalous and defamatory newspaper, magazine, or other periodical," could be found guilty of publishing, etc., a nuisance and have the nuisance enjoined. The court said:

> In attempted justification of the statute, it is said that it deals not with publication per se, but with the business of publishing defamation . . . . Characterizing the publication as a business, and the business as a nuisance, does not permit invasion of the constitutional immunity against restraint.

283 U.S. at 720. It is true that in Near the publication existed only to put forth ideas of one group so that the publisher's case can be distinguished from the case of the independent contractor which we have here, yet the Supreme Court recognized that the first amendment gives to the press something which makes it more than a mere business.

In Grosjean v. American Press Company, 297 U.S. 233 (1935) there was an attempt to impose a license tax of 2% of the gross receipts of advertising of newspapers having a circulation of 20,000 or more per week. This was an attempt at regulating only the commercial aspects of a newspaper's operations, yet the Court did not hesitate to strike it down. Historically, taxation of the circulation of newspapers was a tool of repression, not because it took money from the pockets of the publishers but because it was a method of limiting "the circulation of information which the public

is entitled in virtue of the constitutional guarantees." 297 U.S. at 250. There was never any question of the standing of the plaintiffs in Grosjean to bring the suit which so directly involved them and to assert the first amendment as their protection.

What is more important, the Court stated the purpose of the first amendment:

> The grant of immunity here invoked was to preserve an untrammeled press as a vital source of public information . . . the suppression or abridgment of the publicity afforded by a free press cannot be regarded otherwise than with grave concern.

297 U.S. at 250. It is important to realize this when treating the instant case because in our zeal to secure individual first amendment rights it often becomes hard to see that the ideal of free men governed by free men is just as dependent upon the vehicle of free speech--a free press. Not just the

freedom to write one's own tract, print it in one's basement, and distribute it on the street corner is at stake but the freedom to go to a printer in the business of printing what comes over the counter, pay him, and have printed one's tract is at stake. The purpose of the first amendment was to protect the person who only operates the press as much as the author-printer, not so much because otherwise the commercial printer might lose money but because without the printer many an author could not disseminate his ideas. Unless the mere printer has a right which is greater than that of the ordinary businessman, not only his self-interest will be harmed but the purpose of the first amendment will be defeated. It is in the public interest to keep printers in <u>business</u> and to keep speakers and writers speaking and writing. That public interest has historically been assertable not only by the individual author

and speaker but by the printer and publisher as well.

Later cases bear out this analysis. Smith v. California, 361 U.S. 147 (1959), concerned a bookseller convicted of violating a Los Angeles city ordinance which made it illegal for any person to have in his possession an obscene or indecent book in any place where books were sold or kept for sale. The Court said:

> It requires no elaboration that the free publication and dissemination of books and other forms of the printed word furnish very familiar applications of these constitutionally protected freedoms [of speech and press]. It is of course no matter that the dissemination takes place under commercial auspices [cites omitted]. Certainly a retail book seller plays a most significant role in the process of distribution of books.

361 U.S. at 154.

In Bantam Books v. Sullivan, 372 U.S. 58 (1962), the standing question was dealt with in a footnote:

> Appellants' standing has not been nor could it be, successfully questioned. The appellants have in fact suffered a palpable injury as a result of the acts alleged to violate federal law, and at the same time their injury has been a legal injury [cites]. The finding that the Commission's notices impaired sales of the listed publications, which include two books published by appellants, establishes that appellants suffered injury. * * * [A]ppellants are not in the position of mere proxies arguing another's constitutional rights. The constitutional guarantee of freedom of the press embraces the circulation of books as well as their publication [cite to Lovell] . . . . The publisher has the greater economic stake, because suppression of a particular book prevents him from recouping his investment in publishing it. Unless he is permitted to sue, infringements of freedom of the press may too often go unremedied.

372 U.S. at 63, n. 6.

Plaintiff's standing in the Bantam Book case was more tenuous than in the present case. There the publisher challenged a Commission set up by the state of Rhode Island to advise state book distributors and sellers. There was never any question of the standing of the distributor to

challenge the Commission notices. The publisher's standing was easily found because "unless he is permitted to sue, infringements of the freedom of the press may too often go unremedied."

It is significant to this court that neither in Pittsburg Press v. Pittsburg Commission on Human Relations, ____ U.S. ____, 37 L.Ed.2d 669 (1973), nor in New York Times v. Sullivan, 376 U.S. 254 (1964), were the parties asserting the first amendment rights challenged on the basis of standing. Yet, in both cases it was not the editorial policy which was the subject of the suit but advertisements. True, the question in both became one of whether or not the advertisements might constitute unprotected "commercial speech," but this question was one on the merits, not one of justicibility.

To hold that the printers in this suit have no standing, it would be necessary to distinguish between the newspaper or book

and the political pamphlet. Yet, in 1937 the Court settled that question:

> The liberty of the press is not confined to newspapers and periodicals. It necessarily embraces pamphlets and leaflets. These indeed have been historic weapons in the defense of liberty, as the pamphlets of Thomas Paine and others in our own history abundantly attest. The press in its historic connotation comprehends every sort of publication which affords a vehicle of information and opinion.

Lovell v. Griffin, 303 U.S. 444, 452 (1937).

The Court reiterated this sentiment in Mills v. Alabama, 384 U.S. 214, 219 (1966):

> The Constitution specifically selected the press, which includes not only newspapers, books, and magazines, but also humble leaflets and circulars [cite to Lovell] to play an important role in the discussion of public affairs.

TEST

Amicus Common Cause has questioned the test to be used in measuring whether or not a state election law is offensive to the first amendment. Common Cause suggests

that since Branzburg v. Hayes, 408 U.S. 665 (1973), the compelling state interest test is no longer viable. This is probably an incorrect reading of the Branzburg case. As Gerald Gunther pointed out in his "The Supreme Court, 1971 Term," 86 Harv. L. Rev. 1, 141 (1972):

> The Court stressed that the "investigation of crime by a grand jury implements a fundamental governmental role of securing the safety of the person and property of the citizen." This the Court found to be a "compelling" governmental interest to which the practice of calling reporters to testify bore a "substantial" relation.[25]

And then in footnote 25, Professor Gunther went on:

> The Court refused to indicate whether it considered the proper test to be whether the information sought bore a "reasonable" relation or a "substantial" relation to the government purpose. * * * But the Court proceeded to apply the latter test and held that the government had succeeded in satisfying it . . . .
>
> Certainly, in the area of state control of the election process, the Court has

been unwilling to point out that it is using the compelling state interest test, probably because the "administration of the electoral process is a matter that the Constitution largely entrusts to the states." Kusper v. Pontikes, ____ U.S. ____, 38 L.Ed.2d 260, 266 (1973).

In Rosario v. Rockefeller, ____ U.S. ____, 36 L.Ed.2d 1 (1972), the Court rejected a challenge to a state's law which required a voter to enroll in the party of his choice at least thirty days before the general election in November in order to vote in the next subsequent party primary. One of the bases on which the statute was challenged was the assertion that it violated the voter's freedom to associate with the political party of his choice. The Court felt that the statute did not do this in any significant way. The law did not, for example, "lock" the voters into an unwanted preexisting party affiliation from

one year to the next. Rather, it merely imposed "a time limitation on when the voters had to act in order to participate in their chosen party's next primary." States, it conceded, have an interest in setting time limits for an orderly election process.

Having rejected the argument that the requirement was more than a time limitation, the Court concluded by concerning itself with whether or not the time limit in and of itself could be said to be an onerous burden on freedom of association. Because the state had a reasonable justification for the statute, that is, to prevent "raiding" during primary elections, the statute was upheld. "The resulting time limitation in enrollment is thus tied to a particularized legitimate purpose, and is in no sense invidious or arbitrary." 36 L.Ed.2d at 10.

In Rosario, the Court examined the quantum of restriction on associational rights, determined that it was insignificant, characterized the complained-of

restriction, and then reexamined it in light of its relationship to the goal the state was seeking to accomplish.

The Court followed a similar procedure in Kusper v. Pontikes, supra, another challenge to a state's election laws. In examining the restrictions in Kusper, the Court found that it did have a substantial effect on associational freedoms. This finding seemed to change the subsequent analysis from the one in Rosario: "As our past decisions have made clear, a significant encroachment upon associational freedom cannot be justified upon a mere showing of legitimate state interest." 38 L.Ed.2d at 267. The Court goes on to distinguish the Kusper case from Rosario and to hold that Rosario itself indicates that the goals sought by Illinois in Kusper can be obtained by less drastic means. Although the Court has not articulated its standard in these election cases, by its reference to "past decisions," in Kusper it can be assumed

that the compelling state interest test is alive and well, if in a slightly altered form. It must also be emphasized that these voter cases are factually quite different from the pure free-speech case with which we are faced here.[2]

---

[2]In the recent case Procunier v. Martinez, ____ U.S. ____, 40 L.Ed.2d 224 (1974), the Supreme Court addressed the problem of censorship of the mail to and from prisoners and the incidental burden on first amendment rights caused thereby. The Court said:

> Applying the teachings of our prior decisions to the instant context, we hold that censorship of prisoner mail is justified if the following criteria are met. First, the regulation or practice in question must further an important or substantial governmental interest unrelated to the suppression of expression . . . . Second, the limitation of First Amendment freedoms must be no greater than is necessary or essential to the protection of the particular governmental interest involved. 40 L.Ed.2d at 240.

To this court, the Procunier case demonstrates that the compelling state-interest is still operative.

Clearly, if the burden on first amendment freedoms is more than incidental, the Court will not employ a "rational" state interest or "particularized legitimate purpose standard," as it did in Rosario, but will return to a standard which is similar, if not identical, to the compelling state-interest test.

What is known as the compelling state-interest test is set forth in this language in Schneider v. Irvington, supra, at 161:

> In every case, therefore, where legislative abridgement of the rights is asserted, the courts should be astute to examine the effect of the challenged legislation. Mere legislative preferences or beliefs respecting matters of public convenience may well support regulation directed at other personal activities, but be insufficient to justify such diminishes the exercise of rights so vital to the maintenance of democratic institutions. And so as cases arise, the delicate and difficult task falls upon the courts to weigh the circumstances and to appraise the substantiality of the reasons advanced in support of the free enjoyment of the rights.

With this test in mind, the merits of the case will be considered.

MERITS--FIRST AMENDMENT QUESTION

The plaintiffs assert two interrelated rights: (1) the freedom to print political material anonymously, derived from the first amendment's guarantee of freedom of the press, and (2) the printers' personal rights to associational privacy, derived from the first amendment's guarantee of the right to freely assemble for the redress of grievances.

Historically, anonymous publications have been considered basic implements of the expression of unpopular ideas or political beliefs. In Talley v. California, 362 U.S. 60 (1960), the Supreme Court judicially recognized the existence of a right to publish anonymously. Talley teaches:

> Anonymous pamphlets, leaflets, brochures and even books have played an important role in the progress of mankind. Persecuted groups and sects from time to time throughout history have been able to criticize oppressive practices and laws either anonymously

> or not at all. The obnoxious press licensing law of England which was also enforced on the Colonies was due in part to the knowledge that exposure of the names of printers, writers and distributors would lessen the circulation of literature critical of the government. The old seditious libel cases in England show the lengths to which government had to go to find out who was responsible for books that were obnoxious to the rulers.

362 U.S. at 64-65.

The Court expressly declined to pass on the validity of an ordinance or law limited in its purpose to the identification of those responsible for fraud, false advertising or libel, and decided the case on overbreadth. The purpose of the Texas election law is limited in purpose and cannot be said to be overbroad precisely in the Talley sense. But by placing a major part of the burden of identification on the printer, the legislature has failed to rid the statute of all that the Court found obnoxious in the Talley ordinance.

The Court said in Talley: "There can be no doubt that such an identification requirement would tend to restrict freedom to distribute information and thereby freedom of expression." 362 U.S. at 64. The instant law restricts freedom to print, thereby freedom of expression. If he must place his name on the advertisement, the printer may decline to print matter submitted to him by dissident or unpopular groups for fear of official or unofficial reprisals which may be thrust upon him. This is a substantial infringement.

Furthermore, the printer, being the man in the middle, is hardly one upon whom to place the burden of policing the Texas Election Code. Precise regulation in the first amendment area would seem to dictate that, as Talley suggests, the legislature should seek directly to denounce and punish the campaign tacticians who may utilize the printing press for fraudulent purposes. By drawing the printers into the net the state

seeks to utilize them to make the job of investigation simpler, but at the same time it destroys the constitutional guarantee of anonymity. The printers must be left to enjoy anonymity unless there is some overriding governmental interest. Simplicity of investigation does not constitute this overriding interest.

Closely connected with the printers' rights as printers to print anonymously are the printers' rights as persons to associational privacy. Case law has established that forced disclosure of membership in a particular group may well violate the first amendment's guarantee of the free assembly. The Court has expressed the idea in this way:

> It is hardly a novel perception that compelled disclosure of affiliation with groups engaged in advocacy may constitute as effective a restraint on freedom of association as the forms of governmental action in the cases above were thought likely to produce upon the particular constitutional

> rights there involved [taxes on newspapers; regulations of labor unions; investigating lobbying]. This Court has recognized the vital relationship between freedom to associate and privacy in one's associations . . . . Compelled disclosure of membership in an organization engaged in advocacy of particular beliefs is of the same order.

NAACP v. Alabama, 357 U.S. 449, 2 L.Ed.2d 1488 (1958). Logically, if it burdens one to be compelled to disclose associations, it must also burden one to be forced to associate oneself with an organization with which one is not in political or philosophical sympathy. "The right to associate with the political party of one's choice is an integral part of this basic constitutional freedom [to associate with others for the common advancement of political beliefs and ideas]." Kusper v. Pontikes, supra at 266. It could be said that the kind of associational disclosure contemplated by amended art. 14.10(b) is even more of a burden upon the person's first amendment rights.

Article 14.10(b) infringes on the right of associational privacy by creating a great probability that persons seeing the printers' names on political advertisements will mistakenly consider the name an endorsement of the candidate. Whether the printer desires the fact publicly known or not, the statute requires the printer to reveal that he has been of some assistance to the candidate. Indeed, the one who reads the publication may be unaware of the law which requires the printer to disclose his identity and may assume he did so voluntarily.

The State has countered with the argument that both these burdens can be avoided by the printing of a disclaimer, but disclaimers are not a solution for two reasons. In the first place, the statute refers to all political advertisements. There might well be room for a disclaimer on some advertisements but bumper stickers, for

example, leave little room for such. Neither does a small pamphlet have much room to contain the political message, the required disclosure, and an accompanying disclaimer. In the second place, disclaimers may well be ineffective. The fact that one disavowed in a disclaimer the ideas and ideals of the Communist Party, for example, would not put to rest the notion in the minds of many persons that the printer had nevertheless assisted the Communist Party in making its presses available to the party.

The State conceded that art. 14.10(b) does place a burden on these first amendment rights of plaintiffs but asserts that this does not automatically invalidate the law. The question to be decided at this juncture is whether or not a substantial burden is placed upon the first amendment rights of the plaintiffs. Article 14.10(b) demands that they abandon their right to print anonymously and their right of associational

privacy if they chose to print political advertising and avoid criminal prosecution. They have demonstrated under oath a legitimate, bona fide fear of intimidation, harassment, and reprisal, both economic and physical, if they are required to print their names on all political advertising. Their choice is very likely to be one for associational privacy and anonymity. If this happens, not only will they lose the business of printing political advertising but those unpopular political parties who wish to have their materials printed will be left without the services of the printing press. Most important of all, the underlying policy behind the first amendment is defeated.[3]

---

[3] Not only direct but indirect adbridgment is forbidden. Taxing (Grosjean) and licensing (Lovell) have been held restraints. So has the requirement that to receive a certain form of mail one must request it be delivered. Lamont v. Postmaster General, 381 U.S. 301, 14 L.Ed.2d 398 (1965). Nor must the

It is also important to remember that the rights of the first amendment will be curtailed at a time when they are most vital--during an election campaign. Surely, if the first amendment was designed to assure a free government by free men, then the political discussions of an election year are the most important of all. Yet, the State would curtail these discussions precisely at that time. That this state of affairs places a substantial burden upon the first amendment is clear to this court and needs no further elaboration.

It is equally as clar that the justification offered by the State of Texas is

Ftn 3, cont'd

statute, to be declared unlawful, have behind it the purpose to restrict the publication or dissemination of ideas. The fact that a statute may have a salutory purpose has no bearing on whether or not it should be held unconstitutional. Cf. Mills v. Alabama, 384 U.S. 415, 219-220, 16 L.Ed.2d 484, 488-89.

not substantial, paramount, or compelling enough to justify this burden upon the first amendment, in light of the distinct possibility that the State can fashion alternative means for discovering the identity of those who abuse the election process.

> [A]dministration of the electoral process is a matter that the Constitution largely entrusts to the States. But in exercising their powers of supervision over elections . . . the States may not infringe upon basic constitutional protections.

Kusper v. Portikes, supra, at 266. Cf. Mills v. Alabama, supra. The State has alleged that the statute in question is necessary in several ways to the orderly election process. Amicus Common Cause alleged many more. Essentially, the argument is that by requiring the printer to put his name on the political advertisement and requiring him to keep a record of the name and address of the person who paid for the advertisement, the State and the public have an easy way

of identifying persons responsible for the advertisements. There are basically four reasons why the identification of persons responsible for advertisements is deemed desirable:

1. Responsibility for fraudulent, deceitful, libelous, or falsely attributed statements in political advertisements can be ascertained.

2. The informed voter is entitled to know who is supporting particular candidates or positions and what "media companies" are molding the image of the candidate.

3. The opposing candidates are in a better position to reply to campaign accusations.

4. The actual advertsing expenditures of candidates are more easily determined by both the State and the public.

In essence, the State seeks to go through the printer to obtain information about questionable or illegal activities,

or to give the public and the opposing candidates information about the persons responsible for the candidacy or image of any particular candidate.

As laudable as these goals may be, the method is rife with fatal flaws. The State would have this court believe that these few lines of the Election Code constitute the linchpin of the scheme of the Code; yet, for the most part, the printers are nothing more than middlemen.

Initially, it must be remembered that there is no affirmative penal sanction in Texas for campaign "dirty tricks." So long as the printer prints some identity for the trickster and his own identity, presumably he is free from any sanction. Even if there were affirmative penal sanctions, since there is no requirement that the printer research the identity of the person who submits the advertisement for printing, there is no assurance that the campaign trickster's identity will be his true identity. The goal of

prevention of campaign "dirty tricks" is not likely to be achieved by art. 14.10(b).

Secondly, the informed voter-candidate policy, laudable as it is, is not sufficient to justify substantial infringement on first amendment freedoms. Cf. Thomas v. Collins, 323 U.S. 538, 89 L.Ed. 445 (1944) and Lewis v. Baxley, ____ F.Supp. ____, 42 L.W. 2347 (three-judge ct. M.D. Ala. Dec. 21, 1973). The statute also provides that the identity of the person paying for the advertisement be disclosed, with a veiw to enabling the voter to vote more effectively. The identity of the printer coupled with his list of advertisers is supposed to provide the opportunity of a double check on who the advertisers are; yet, how meaningful that opportunity is, in light of the possibility that dishonest tricksters will not truly identify themselves, remains a question.

The argument that the amount of campaign expenditures can be better ascertained does seem the most plausible use for the

statute, but it also sweeps too broadly. For example, the printers could be required to keep records of the expenditures of candidates and report them to the state authorities, without printing their identity on the very advertisements. True, a candidate or a member of the general public who wishes to find out how much the candidate spends on a particular item of advertising may have an easier time of it if he can call the printer directly, but a call to Austin, where such records might be kept, is not such an impossibility that it justifies the burden on the printers' first amendment rights.

The plaintiffs in this case are not asserting an absolute privilege of associational privacy and anonymity. They fear the consequences of a disclosure statute which requires them to publish in close proximity to the political sentiments advertised their names and addresses. This

court believes that the requirement places a substantial burden upon the printers personally and upon the first amendment itself. The defendants have suggested that the only burden upon the plaintiffs is economic and, therefore, unprotectable. To reach such a conclusion we would have to discard the language of a long line of Supreme Court cases which deal with the first amendment rights of newspapers and booksellers. Cf. Near v. Minnesota, supra; Bantam Books v. Sullivan, supra; Grosjean v. American Press Co., supra; Smith v. California, supra; Pittsburgh Press v. Pittsburgh Commission on Human Relations, supra.

The statute here, which has the distinct possibility of curtailing the printing activities of some or all of these printers, is no less restrictive than the statute struck down almost forty years ago in Grosjean. This statute, too, affected the pocket book of the newspaper publisher;

yet, the effect on the pocket book was quite logically seen to have a much more drastic effect on the future of publishing and the information to be received by the public. It was not the editorial content published by the newspaper which the court concerned itself with.

The fact that a reprisal may take an economic form does not cushion the reprisal or render the burden on the first amendment any less significant. In Pittsburgh Press, supra, the Court expressly limits itself to a situation in which financial ruin is not in question:

> Nor does Pittsburgh Press argue that the ordinance threatens its financial viability or impairs in any significant way its ability to publish and distribute its newspaper.

37 L.Ed.2d at 675-676. And, in NAACP v. Alabama, supra, the Court said:

> Revelation of the identity of its rank and file members has exposed those members to economic reprisals, loss of employment, threat of physical

> coercion, and other manifestations of public hostility.

357 U.S. at 462.

This court believes that the plaintiffs have demonstrated a probability of reprisals sufficient to engender in them a fear which significantly burdens their first amendment rights. The State's policy arguments are insufficient to overcome the substantial burden, especially since the statute, without the offensive clause, would operate in much the same way as it would operate with the clause. More importantly, it could be more narrowly drawn to achieve the State's desired goal.

MERITS--VOID FOR VAGUENESS

Our decision that the statute quoted is overbroad has mooted the question of whether or not the undefined word "publisher" gives fair notice to printers. There remains, however, the question of whether or not the words "person paying for the

advertising" is sufficient to apprise the printer of precisely whose name he is to place on the advertisement. Plaintiffs submit that the phrase could refer to a number of individuals, for example, the advertising agency paying the bills of the candidate, the campaign organization which is represented by the advertising agency, the person who donates the money earmarked for the advertisement, or the candidate himself, among others.

The plaintiffs point out further that the various interests which the election law seeks to advance would suggest a disclosure of the identity of a different person among the several choices available.

Article 14.10(b) which caries with it criminal penalties must be more explicit to stand. The printers must not be left to a guessing game.

The definition of "persons paying for the advertising" should be left to the

legislature for definition, but as the statute now stands complying with it is almost impossible because it is "so vague that men of common intelligence must necessarily guess at its meaning and differ as to its application . . . . " Connally v. General Construction Co., 269 U.S. 385, 391, 70 L.Ed. 322 (1926). For that reason, the phrase "person paying for the advertising" is unconstitutionally void on its face.

We conclude, therefore, that that portion of art. 14.10(b) of the Texas Election Code which reads: "Any printed or published political advertising shall also have printed on it the name and address of the printer or publisher and the person paying for the advertising," is unconstitutional and enjoin the State from its enforcement.

DONE at Houston, Texas, on this the 20th day of August, 1974.

Thomas Gibbs Gee
Circuit Judge

John V. Singleton
United States District
Judge

Carl O. Bue, Jr.
United States District
Judge

(Specially concurring)

JUDGE BUE (specially concurring)

I concur in the result reached by the majority, even though I cannot join in the rationale employed in portions of the opinion. My decision regarding the constitutionality of aritcle 14.10(b) is not based upon the denial of the First Amendment rights of the printers, since I do not believe they possess any, but rather upon the denial of the rights of persons who hire their services.

It is evident that the First Amendment protects not the written or spoken word itself, but the expression of ideas concerning social policy, political views, religious beliefs, etc. See, e.g., Pittsburgh Press Co. v. Pittsburgh Comm. of Human Relations, 413 U.S. 376, 385 (1973); New York Times Co. v. Sullivan, 376 U.S. 254, 265-66 (1964); Roth v. United States, 354 U.S. 476, 484 (1957). Accordingly,

the protection of the First Amendment is not limited to authors or speakers, but extends as well to those who distribute the written word, Bantam Books v. Sullivan, 372 U.S. 58 (1963); Smith v. California, 361 U.S. 147 (1959); Marsh v. Alabama, 326 U.S. 501 (1946); Lovell v. Griffin, 303 U.S. 444 (1938), or who express ideas through other types of conduct. See, e.g., Tinker v. Des Moines School District, 393 U.S. 503 (1969); Brown v. Louisiana, 383 U.S. 131 (1966). However, speech or conduct that expresses nothing of political or social importance is not subject to First Amendment protection. See, e.g., Roth v. United States, supra at 485; Chaplinsky v. New Hampshire, 315 U.S. 568 (1942); Karr v. Schmidt, 460 F.2d 609 (5th Cir. 1972). Thus, it is implicit in any consideration of First Amendment rights that a court determine what the speaker or actor is expressing and if this expression is worthy of First Amendment protection.

In the instant case, the printers, by their actions, express nothing. They do not argue that they are denied the right to print anonymously their own views in support of or in opposition to a candidate. To the contrary, they seek the right to print anonymously that for which they have no feeling one way or the other. Indeed, they seek anonymity to avoid expressing any type of conviction. Nor do the printers seek to distribute ideas. They merely receive orders from their clientele and return the finished product to the person hiring their services.

In this regard, a printer is to be distinguished from the publisher of a newspaper. Both are similar in that they require the services of a printing press. However, there the similarity ends. It is inherent in every newspaper that editorial judgment is involved, not only in the expression of viewpoint contained on the editorial page,

but also in the selection, rejection and placement of news items contained within its pages. See Pittsburgh Press Co. v. Pittsburgh Comm. of Human Relations, supra at 386. Furthermore, a newspaper publisher is actively involved in the distribution of that which he prints. Contrary to the printer, he is not just a mechanical means of reproduction of the printed word.

Furthermore, I do not find, for much the same reasons as expressed above, that the printers' First Amendment right of association is violated by article 14.10(b). The right of association, like the right of free speech, is protected in order to promote the expression of ideas.

> It is beyond debate that freedom to engage in association for the advancement of beliefs and ideas is an inseparable aspect of the 'liberty' assured by the Due Process Clause of the Fourteenth Amendment, which embraces freedom of speech . . . . Of course, it is immaterial whether the beliefs sought to be advanced by the association pertain to political, economic, religious or cultural matters . . . ."

N.A.C.P. v. Alabama, 357 U.S. 449, 460 (1958).

> The right of 'association,' . . . is more than the right to attend a meeting; it includes the right to express one's attitudes or philosophies by membership in a group or by affiliation with it or by other lawful means. Association in that context is a form of expression of opinion . . . .

Griswold v. Connecticut, 381 U.S. 479, 483 (1965). In the instant case, however, the association of the printers and those who hire their services is not for the purpose of expressing any thoughts held by the printers; rather, it is purely a commercial relationship. Indeed, the printers seek anonymity because they do not necessarily hold the views of their customers. Purely commercial speech is not entitled to the protection of the First Amendment. E.g., Valentine v. Chrestensen, 316 U.S. 52 (1942). I do not believe that commercial association should be accorded any more sacred a position.

However, in holding that the printers have no First Amendment rights of their own in this situation, the importance of the printing press and the man who operates it is not to be underplayed. It cannot be questioned that his role in providing a means for expression is indispensable. But his importance as a means for the exercise of First Amendment rights for those hiring his service does not give him First Amendment rights beyond his own right of self-expression. Accordingly, a printer who merely performs a mechanical function is not exercising his First Amendment rights. In summary, the printers seek to print anonymously when they themselves are saying nothing and supporting nothing. Their actions cannot constitute "speech" as protected by the First Amendment.

Even though the action of the printers is not accorded the protection of the First Amendment, this does not preclude consideration of their challenge to the constitutionality

of article 14.10(b). Although the statute in question may be constitutional as applied to the printers since they have no First Amendment rights, these same printers may still challenge the statute as facially unconstitutional. This is so because the bar to standing to assert such a challenge is traditionally disregarded when a statute dealing with First Amendment rights is attacked as facially unconstitutional for overbreadth. See Broadrick v. Oklahoma, 413 U.S. 601, 610-613 (1973); United States v. Raines, 362 U.S. 17, 22 (1960). In cases falling within this narrow ambit, the Supreme Court has held that it will bypass its usual practice of considering first if the constitutional rights of the individual challenger have been violated and will consider the statute as a whole, regardless of whether its application to the challenger can be viewed as unconstitutional. See Sedler, Standing to Assert Constitutional Jus Tertii in the

Supreme Court, 71 Yale L.J. 599, 612-26 (1962). "Litigants, therefore, are permitted to challenge a statute not because their own rights of free expression are violated, but because of a judicial prediction or assumption that the statute's very existence may cause others not before the Court to refrain from constitutionally protected speech or expression," Broadrick v. Oklahoma, supra at 612. Accord Gooding v. Wilson, 405 U.S. 518 (1972); Dombrowski v. Pfister, 380 U.S. 479, 486 (1965); N.A.A.C.P. v. Button, 371 U.S. 415, 432-33 (1963); Thornhill v. Alabama, 310 U.S. 88, 97 (1939); Mancuso v. Taft, 476 F.2d 187, 190 (1st Cir. 1973); LeFlore v. Robinson, 434 F.2d 933, 936 (5th Cir. 1970), vacated on other grounds, 446 F.2d 715 (1971).

Of course, the law's special treatment of the issue of standing in the First Amendment area does not mean that any person may challenge a statute concerning free speech.

It is necessary that other relevant aspects of a "justiciable controversy" be present. See Flast v. Cohen, 392 U.S. 83, 95 (1968). In any action involving a declaratory judgment as to the constitutionality of a state law, there must be sufficient adversity to warrant federal adjudication. See Golden v. Zwickler, 394 U.S. 103, 108 (1969); Poe v. Ullman, 367 U.S. 497 (1961); Note, The First Amendment Overbreadth Doctrine, 83 Harv. L. R. 844, 863-64 (1970). Furthermore, although it is not necessary that the party challenging the statute be the subject of a criminal prosecution, see Doe v. Bolton, 410 U.S. 179, 188-89 (1973), it is necessary that the plaintiff be subject to "a real threat of enforcement". Poe v. Ullman, supra at 507.

In the case presently under consideration, it is readily apparent that we are concerned with a statute affecting, on its face, First Amendment rights of speech and

press. Plaintiffs have challenged the statute because of vagueness and facial unconstitutionality. Unlike the challenged statute in Broadrick, article 14.10(b) is not concerned with the regulation of conduct, but with the regulation of speech itself in the form of printed material. Further, the threat of enforcement of the challenged statute is sufficiently real to deter the printing of anonymous literature and to support the printers' right to bring this action. Article 14.10(b) provides fines and imprisonment for non-compliance with its terms. The statute operates directly upon the plaintiffs in this action. Although the Court has been presented with no evidence of enforcement of the article's penal provisions, there is no indication that state officials intend to disregard the law. Accordingly, the plaintiffs have alleged a justiciable controversy, and it is necessary to consider the merits of

their claims that article 14.10(b) is facially unconstitutional and void for vagueness.

While First Amendment rights are accorded a "preferred position" among Constitutional rights, Saia v. New York, 334 U.S. 558, 561 (1948), the right for free speech is not absolute. See, e.g., Adderly v. Florida, 385 U.S. 39 (1966); Cox v. Louisiana, 379 U.S. 536 (1965); Schenck v. United States, 249 U.S. 47 (1919). Thus, any consideration of the constitutionality of a statute allegedly infringing First Amendment rights first must involve a balancing test to determine if the interests of the state in regulating expression substantially outweigh those of the individual. To prevail, the state's interest, it appears, must be compelling. See, e.g., American Party of Texas v. White, 39 L.Ed.2d 744, 760 (1974); N.A.A.C.P. v. Button, 371 U.S. 415, 438 (1963). Once the state is found

to have the requisite interest in regulation, a court must consider if this interest burdens unnecessarily other protected activities. See, e.g., Grayned v. Rockford, 408 U.S. 104, 116-17 (1972). "Free expression 'must not, in the guise of regulation, be abridged or denied.'" Id. at 117, citing Hague v. CIO, 307 U.S. 496, 516 (1939).

In the instant case, we are faced with the task of balancing the interest of the state in preventing election fraud with that of the individual in publishing campaign literature anonymously. It is beyond question that the state has a compelling interest in preserving the integrity and orderliness of elections. See, e.g., Storer v. Brown, 39 L.Ed.2d 714, 725-26 (1974); Rosario v. Rockefeller, 410 U.S. 752, 761 (1973); American Party of Texas v. White, supra at 761 n. 14; Jenness v. Fortson, 403 U.S. 431, 442 (1971); Bullock v. Carter, 405 U.S. 134, 145 (1972). At the same time, it

is well recognized that the individual has a right to exercise his First Amendment rights anonymously. See Talley v. California, 362 U.S. 60 (1960); Bates v. Little Rock, 361 U.S. 516 (1960); N.A.A.C.P. v. Alabama, 357 U.S. 449 (1958). This right of anonymity is not, however, unqualified. See United States v. Harris, 347 U.S. 612 (1954) (upholding Federal Lobbying Act requiring registration of paid Congressional Lobbyists and disclosure of contributions); Lewis Publishing Co. v. Morgan, 229 U.S. 288 (1913) (upholding the required disclosure of publisher, editor, managers, etc., of newspaper seeking second class mailing privileges); Veterans & Reservists for Peace in Viet Nam v. Regional Comm'r., 459 F.2d 676 (3d Cir. 1972) (upholding licensing requirements of Trading with the Enemy Act); Wirtz v. Fowler, 372 F.2d 315 (5th Cir. 1966) (upholding the Labor-Management Reporting and Disclosure Act requiring report of ac-

tivities of persons hired by employers to influence employees in organizing activities); Powe v. Miles, 407 F.2d 73 (2d Cir. 1968) (upholding college regulation requiring advance notice of demonstrations). See also Branzburg v. Hayes, 408 U.S. 665 (1972) (grand jury can require disclosure of newspaper sources).

With full recognition of the state's interest in regulation of the election process, I concur in the conclusion of the majority that article 14.10(b) is unconstitutional in its overbreadth. I reach this decision after due consideration of the authorities cited above as examples of valid disclosure laws. In each instance in which a disclosure statute has been upheld, the statute has been narrowly drawn to regulate only the narrow spectrum of activity that is necessary to protect the state's interest. A private individual under the regime of the valid disclosure laws cited could still

exercise his rights of expression anonymously. Article 14.10(b), however, is not limited to prohibiting election fraud. In seeking merely to facilitate the investigation of such fraud, it prohibits the anonymous publication of not only fraudulent literature, but all campaign literature, whether the contents be true or not. The prohibition of anonymous expression is thus direct and immediate. No individual seeking to have campaign material printed is immune from its application. Under article 14.10(b), it is not necessary that the party subject to the law seek some government benefit, such as second class mailing privileges, or that he be engaged in a specialized occupation, such as a paid lobbyist; nor does the prohibition become operative only if conduct as well as speech is involved, as in the case of a college demonstration. While a law directly prohibiting the publication of fraudulent literature would no doubt be

constitutional, the state simply has no compelling interest in the prohibition of all anonymous campaign literature.

Although the state argues that article 14.10(b) is limited in that it applies only to election activities, I do not find that this limitation is sufficient to pass constitutional muster. Under no circumstances is the right of free expression more important than in the political arena, and no better opportunity is provided for the exercise of these rights than in an election. "[D]ebate on public issues should be uninhibited, robust, and wide-open, [even though] it may well include vehement, caustic, and sometimes unpleasantly sharp attacks on government and public officials." New York Times v. Sullivan, 376 U.S. 254, 270 (1964). In the midst of the current zeal to prevent the fraudulent campaign practices that seem to have prevailed in the 1972 election year, legislatures and courts should not lose

sight of that which is most fundamental to our society. The importance of the lone dissenter cannot be overestimated. To undermine the right to speak anonymously is to jeopardize this ability to dissent.

Thus, I am in accord with the view of the majority that article 14.10(b) is unconstitutional insofar as it requires the name of the printer or publisher to be published on all campaign material. Although the printer may have no First Amendment right to conduct his business anonymously, the disclosure of his name is primarily for the purpose of identifying the author or distributor of the printed material and constitutes an abridgment of the latter's First Amendment rights. By virtue of my decision regarding the ability of the plaintiffs to assert the First Amendment rights of others, my consideration of the challenged statute necessarily has been broader than that of the majority. Accordingly, I would hold

further that the statute is unconstitutional in that it requires the name of the person paying for the advertisement to appear on the face of the material and thus abridges his First Amendment rights as well.

DONE at Houston, Texas, this 20th day of August, 1974.

Carl O. Bue, Jr.
United States District
Judge

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

PRINTING INDUSTRIES OF THE GULF COAST, MARION COLEMAN, GRADY E. FITCH, and CHARLES DRAKE, individually, and on behalf of all persons similarly situated	]	CIVIL ACTION
V.	]	
HONORABLE JOHN HILL, Attorney General of the State of Texas, HONORABLE MARK WHITE, Secretary of State of Texas, and CAROL S. VANCE, District Attorney for Harris County, Texas	]	NO. 73-H-1261

O R D E R

In accordance with our opinions entered on this date, it is hereby ORDERED and DECLARED that the language of art. 14.10(b) of the Texas Election Code which reads:

> Any printed or published political advertising shall also have printed on it the name and address of the printer or publisher and the person paying for the advertising

is unconstitutional and the State of Texas is hereby enjoined from the enforcement of same.

DONE at Houston, Texas, on this the 20th day of August, 1974.

Thomas Gibbs Gee
Circuit Judge

John V. Singleton
United States District Judge

Carl O. Bue, Jr.
United States District Judge

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

PRINTING INDUSTRIES OF THE GULF COAST, MARION COLEMAN, GRADY E. FITCH, and CHARLES DRAKE, individually, and on behalf of all persons similarly situated	)	CIVIL ACTION
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BEFORE GEE, CIRCUIT JUDGE,
SINGLETON AND BUE, DISTRICT JUDGES.

NOTICE OF APPEAL TO THE UNITED STATES SUPREME COURT

Notice is hereby given that JOHN L. HILL, Attorney General of the State of Texas, MARK WHITE, Secretary of State for the State of Texas, and CAROL S. VANCE, District Attorney for Harris County, Texas, defendants in the above styled and numbered cause, hereby appeal to the Supreme Court of the United

States from the Order declaring that the language of Article 14.10(b) of the Texas Election Code which reads:

> Any printed or published political advertising shall also have printed on it the name and address of the printer or publisher and the person paying for the advertising

is unconstitutional, and enjoining the State of Texas from the enforcement of same, entered in this civil action on the 20th day of August, 1974.

This appeal is taken pursuant to Title 28, United States Code, Section 1253.

Respectfully submitted,

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Attorney General of Texas

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First Assistant Attorney General

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ATTORNEYS FOR DEFENDANTS,
JOHN L. HILL and MARK
WHITE

Joe Moss
Assistant District Attorney
Harris County Courthouse
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ATTORNEY FOR DEFENDANT,
CAROL S. VANCE

ARTICLE 14.10 Political Advertising

(a) Anything published in a newspaper, magazine or journal or any pamphlet, handbill or other printed matter, or anything broadcast over a radio or television station or displayed on a billboard, in favor of or in opposition to any candidate for any public office or office of a political party, or in favor of or in opposition to the success of any public officer, or in favor of or in opposition to any political party, or in favor of or in opposition to any proposition submitted to a vote of the people, in consideration of the receipt or promise of money or other thing of value, shall for the purposes of this section be considered as political advertising. All advertisements of marked ballots, advising or suggesting how voters should mark their ballots, shall be included in the meaning of political advertising.

(b) No political advertising shall be accepted for printing, publication, or broadcasting unless a copy of the matter to be printed or published, signed by the individual contracting therefor and showing his full address and, if he is acting as an agent, showing also the name of the candidate, political committee, or business entity he represents, is deposited with printer, publisher, or broadcaster accepting the advertising. The person accepting the advertising shall preserve the signed copy for a period of one year after the date of the election to which the advertising relates, and shall permit any interested individual to inspect the signed copy at any time during business hours, but only after the advertising has been printed, published, or broadcast. Such advertising shall be labeled as political advertising in the advertisement as printed, published or broadcast. Any printed or published political advertising shall also have printed on it the

name and address of the printer or publisher and the person paying for the advertising.

Any individual, firm, or corporation who violates the provisions of this Section shall be guilty of a felony and upon conviction shall be fined not less than $100 nor more than $5,000 or be imprisoned not less than one year nor more than five years or be both so fined and imprisoned.

(c) Any advertising medium or any officer or agent thereof who willfully demands or receives for any political advertising any money or other thing of value in excess of the sum due for such service at the regular advertising rates of such medium, or any person who pays or offers to pay for such service any money or other thing of value in excess of the sum due at regular advertising rates, or any person who pays or offers to pay any money or other thing of value for the publication or broadcasting of political advertising except as advertising matter, shall be fined not more than one hundred dollars.

(d) Either party to a violation of this section may be compelled to testify regarding thereto, but shall not be punished for any act regarding which he may have been required to testify. [Acts 1951, 52nd Leg., p. 1097, ch. 492, art. 246; as amended Acts 1963, 58th Leg., p. 1017, ch. 424, § 109.] (Emphasis added.)

SUPREME COURT OF THE UNITED STATES
OFFICE OF THE CLERK
WASHINGTON, D. C. 20543

October 11, 1974

Elizabeth Levatino, Attorney
Special Assistant Attorney General of
Texas
Capitol Station - P. O. Box 12548
Austin, Texas 78711

Re: John Hill, et al. v. Printing Industries of the Gulf Coast, et al.,
No. A-256
USDC SD Texas #73-H-1261

Dear Ms. Levatino:

The Court today entered the following order in the above-entitled case:

The application for stay of judgment of the United States District Court for the Southern District of Texas presented to Mr. Justice Powell and by him referred to the Court, is granted pending the timely docketing of an appeal and final disposition thereon. Mr. Justice Douglas would deny the application.

Very truly yours,

MICHAEL RODAK, JR., Clerk

By

Francis J. Lorson
Deputy Clerk

dm

cc: Joe S. Moss, Esq.
Asst. District Attorney

Gerald M. Birnberg, Esq.

Clerk, United States District
Court for the Southern
District of Texas

